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RPTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM		Application Number		09/820,428	
(to be used for all correspondence after initial filing)		Filing Date		03/28/2001	
		First Named Inventor		Leon	
		Group Art Unit		2161	
		Examiner Name		Unassigned	
Total Number of Pages in This Submission		3		Attorney Docket Number	
				006969-021220US	
ENCLOSURES (check all that apply)					
<input type="checkbox"/> Fee Transmittal Form		<input type="checkbox"/> Assignment Papers (for an Application)		<input type="checkbox"/> After Allowance Communication to Group	
<input type="checkbox"/> Fee Attached		<input type="checkbox"/> Drawing(s)		<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input type="checkbox"/> Amendment / Response		<input type="checkbox"/> Licensing-related Papers		<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
<input type="checkbox"/> After Final		<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition		<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)		<input type="checkbox"/> Petition to Convert to a Provisional Application		<input type="checkbox"/> Status Letter	
<input type="checkbox"/> Extension of Time Request		<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address		<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	
<input type="checkbox"/> Express Abandonment Request		<input type="checkbox"/> Terminal Disclaimer		1) Forty-two (42) references	
<input checked="" type="checkbox"/> Information Disclosure Statement		<input type="checkbox"/> Request for Refund		2) Return receipt postcard	
<input type="checkbox"/> Certified Copy of Priority Document(s)		<input type="checkbox"/> CD, Number of CD(s)			
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. Total number of pages <u>does not</u> include cited references.	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm and Individual name	Townsend and Townsend and Crew LLP David N. Slone Reg No. 28,572				
Signature	<i>David Slone</i>				
Date	June 5, 2002				

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:			
			June 5, 2002
Typed or printed name	Chris Fitting		
Signature	<i>Chris Fitting</i>		
Date	June 5, 2002		

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PA 3227327 v1



PATENT
Attorney Docket No.: 006969-021220US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of:

J.P. Leon

Application No.: 09/820,428

Filed: March 28, 2001

For: SYSTEM AND METHOD FOR
MANAGING MULTIPLE POSTAL
FUNCTIONS IN A SINGLE ACCOUNT

Examiner: Unassigned

Art Unit: 2161

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

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The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in black ink that reads "David Slone". The signature is written in a cursive, flowing style.

David N. Slone
Reg. No. 28,572

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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 3

Complete if Known

Publication Number	09/820,428
Filing Date	March 28, 2001
First Named Inventor	Leon, J.P.
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	006969-021220US

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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	BD	EP	845 762	A2		06-03-1998		
	BE	EP	825 565	A2		02-25-1998		
	BF	WO	00/49580	A1		08-24-2000		
	BG	WO	98/20461	A2		05-14-1998		
	BH	WO	98/13790	A1		04-02-1998		
	BI	GB	1 536 403			12-20-1978		

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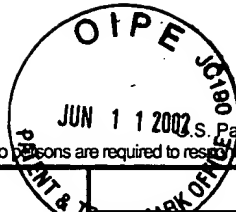
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **3** of **3**

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Application Number	09/820,428
Filing Date	March 28, 2001
First Named Inventor	Leon, J.P.
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	006969-021220US

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	BJ	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for Closed IBI Postage Metering Systems (PCIBI-C)" 12 January 1999 (1999-01-12), United States Postal Service, dated January 12, 1999.	
	BK	"Information Based Indicia Program (IBIP) Indicum Specification," United States Postal Service, dated June 13, 1996.	
	BL	"Information Based Indicia Program Postal Security Device Specification, United States Postal Service, dated June 13, 1996	
	BM	"Information Based Indicia Program Host System Specification [Draft]," United States Postal Service, dated October 9, 1996	
	BN	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for IBI Postage Metering Systems (PCIBISAIBIPMS)," United States Postal Service, dated August 19, 1998	
	BO	BARKER-BENFIELD, "First Union Offers Online Transactions," <u>Florida Times-Union</u> , 1/28/94.	
	BP	FIBS PUB 140-1, Federal Information Processing Standards Publication, (January 11, 1994) Security Requirements for Cryptographic Modules, U.S. Department of Commerce, Ronald H. Brown, Secretary, National Institute of Standards and Technology; pp:1-51.	

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Examiner Signature		Date Considered	
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